

Relationship Summary

June 18, 2020

Item 1. Introduction

Burleson & Company, LLC

Burleson & Company, LLC (“BC”) is an investment adviser registered with the Securities and Exchange Commission (“SEC”). There are other types of financial service professionals that provide different advisory services and fees and it is important that you understand the differences. The SEC provides free educational materials about investment advisors, brokerage services and investing and offers simple tools to help you to research firms and financial professionals at www.investor.gov/CRS.

Item 2. Relationships and Services

What investment services and advice can you provide me?

BC provides a wide array of investment advisory services to separately managed account clients (each an “SMA”) and a private investment venture capital fund (together with the SMAs, the “Clients”).

Our SMAs include: (i) Individuals and high net worth individuals; (ii) Trusts, estates, or charitable organizations; (iii) Pension and profit sharing plans; and (iv) Corporations, Limited Liability Companies, and/or other business types. The advisory services we provide our SMAs include Comprehensive Portfolio Management, Consulting and Concierge services and Retirement Plan services.

We will manage your assets on a discretionary basis and in accordance to the advisory agreement.

For more information regarding all of our services, read the accompanying Brochure starting on page 4 (Items 4 and 7).

Conversation Starters:

Given my financial situation, should I choose an investment advisory service? Why or why not?
How will you choose investments to recommend to me?
What is your relevant experience, including your licenses, education and other qualifications? What do you these qualifications mean?

Item 3. Fees, Costs, Conflicts, and Standard of Conduct

What fees will I pay?

Our advisory fee terms are negotiable and vary depending on the advisory agreement. Generally, our Comprehensive Portfolio Management SMA clients pay an annual investment management fee between 0.60-1.00% on total assets managed by BC. Our Consulting & Concierge services are billed hourly at a negotiable rate between \$250 to \$500 per hour, on a negotiable fixed fee basis, or on an annual retainer basis. Our Retirement Plan Consulting services are billed on a fee based on the percentage of Plan (as defined in the Brochure) assets under management and will not exceed 0.75%.

Fees are billed on a pro-rata annualized basis quarterly in advance and are calculated based upon quarter-end valuation of the account. Therefore, the more assets in the accounts we manage for you, the more you will pay in fees, and therefore we have an incentive to encourage you to increase assets in your accounts.

Brokerage commissions, transaction fees, and other related costs and expenses are exclusive of and in addition to the advisory fee. We do not receive any portion of these commissions, fees, and costs. Other fees and costs you will pay will be outlined in the applicable advisory agreement.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. Read more about our fees starting on page 6 (Item 5) of the Brochure. Your specific fee schedule can be found in your advisory agreement.

Conversation Starters:

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

How might your conflicts of interest affect me, and how will you address them?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money could create conflicts of interest (as listed above).

Read more about our conflicts of interest and how we manage them in our Brochure starting on page 4 (Item 8).

How do your financial professionals make money?

Your financial professional receives total compensation consisting of a base salary and variable compensation. Total compensation takes into account market rate for the role and expertise as well as overall revenue, new assets, retained assets and contributions to our culture and business strength. Payment of incentive compensation and firm profit creates a conflict of interest for your financial professional.

Item 4. Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No. Visit www.investor.gov/CRS for a free and simple search tool to learn more.

Conversation Starters:

As a financial professional, do you have any disciplinary history? For what type of conduct?

Item 5. Additional Information

Read the accompanying Brochure before you invest with us. It contains important information about our advisory services. Call (707) 283-0520 for up-to-date information about us and to request a copy of our Relationship Summary. You can also visit us at <https://www.burlesonco.com/>.

Conversation Starters:

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?